

Characterization of manifolds of constant curvature by spherical curves and ruled surfaces

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Motivation

Guiding question:

To what extent do properties of geometric objects depend on *where* they are defined?

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Theorem B [Kulkarni, *P. Am. Math. Soc.* 53 (1975) 440]

Every sufficiently small geodesic sphere in a manifold M is totally umbilic if and only if M is a space form.

Spherical curves in Euclidean space

Theorem C

A curve is spherical if and only if

$$\frac{d}{ds} \left[\frac{1}{\tau} \frac{d}{ds} \left(\frac{1}{\kappa} \right) \right] + \frac{\tau}{\kappa} = 0.$$

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Rotation minimizing (RM) frames [Bishop, Am Math Mon 82 (1975) 246]

A unit vector field v is RM along α' if $v' = \mu\alpha'$ for some μ . If instead of Frenet we equip α with an RM frame $\{t = \alpha', n_1, \dots, n_m\}$, then

$$\frac{d}{ds} \begin{pmatrix} t \\ n_1 \\ \vdots \\ n_m \end{pmatrix} = \begin{pmatrix} 0 & \kappa_1 & \cdots & \kappa_m \\ -\kappa_1 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ -\kappa_m & 0 & \cdots & 0 \end{pmatrix} \begin{pmatrix} t \\ n_1 \\ \vdots \\ n_m \end{pmatrix}.$$

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Theorem D [Bishop, Am. Math. Mon. 82 (1975) 246]

Let $\{t = \alpha', n_1, \dots, n_m\}$ be an RM frame, then α is spherical if and only if

$$a_1 \kappa_1(s) + \dots + a_m \kappa_m(s) = \frac{1}{r}$$

where a_j is constant, $a_1^2 + \dots + a_m^2 = 1$, and r is the radius of the sphere.

Note: The coefficients a_j are the coordinates of the unit normal of the sphere with respect to $\{n_1, \dots, n_m\}$.

Spherical curves in space forms

Theorem 1 [— & da Silva, Mediterr. J. Math. 15 (2018) 70]

Let α be a C^2 curve in $\mathbb{S}^{m+1}(r)$ or $\mathbb{H}^{m+1}(r)$. Then, α is spherical iff

$$\begin{cases} a_1 \kappa_1 + \cdots + a_m \kappa_m + \frac{1}{r} \cot\left(\frac{z_0}{r}\right) = 0, & \text{if } \alpha \subseteq \mathbb{S}^{m+1}(r) \\ a_1 \kappa_1 + \cdots + a_m \kappa_m + \frac{1}{r} \coth\left(\frac{z_0}{r}\right) = 0, & \text{if } \alpha \subseteq \mathbb{H}^{m+1}(r) \end{cases},$$

for some z_0 (radius) and a_j (coordinates of the unit normal). Moreover, the coefficients $\frac{1}{r} \cot\left(\frac{z_0}{r}\right)$ and $\frac{1}{r} \coth\left(\frac{z_0}{r}\right)$ are the mean curvature of the sphere.

Theorem 2 [— & da Silva, Mediterr. J. Math. 15 (2018) 70]

Let α be a C^4 curve in $\mathbb{S}^3(r)$ or $\mathbb{H}^3(r)$. Then, α is spherical iff

$$\frac{d}{ds} \left[\frac{1}{\tau} \frac{d}{ds} \left(\frac{1}{\kappa} \right) \right] + \frac{\tau}{\kappa} = 0.$$

Proof of Theorem 1

Let α be spherical. We may write

$$\alpha(s) = \exp_p(z_0 V(c_0 s)) = \cos\left(\frac{z_0}{r}\right)p + r \sin\left(\frac{z_0}{r}\right)V(c_0 s) \Rightarrow t_\alpha(s) = V'(c_0 s),$$

where $c_0 = \frac{1}{r} \csc\left(\frac{z_0}{r}\right)$ and $V : I \rightarrow \mathbb{S}^m(1) \subseteq T_p \mathbb{S}^{m+1}(r)$ has unit speed.

The unit normal ξ along α is the same as the tangent of the radial geodesic $\beta_s(u) = \exp_p(uV(c_0 s))$ at $u = z_0$. We can show that

$$\nabla_{t_\alpha} \xi = \frac{1}{r} \cot\left(\frac{z_0}{r}\right) t_\alpha.$$

Note: The unit normal ξ is RM along any curve!

Let us write ξ along α as

$$\xi(s) = t_{\beta_s}(z_0) = a_1(s)n_1(s) + \cdots + a_m(s)n_m(s).$$

The coefficient $a_i = \langle \xi, \mathbf{n}_i \rangle$ is constant:

$$a'_i = \langle \nabla_{\mathbf{t}_\alpha} \xi, \mathbf{n}_i \rangle + \langle \xi, \nabla_{\mathbf{t}_\alpha} \mathbf{n}_i \rangle = \left\langle \frac{1}{r} \cot\left(\frac{z_0}{r}\right) \mathbf{t}_\alpha, \mathbf{n}_i \right\rangle + \langle \xi, -\kappa_i \mathbf{t}_\alpha \rangle = 0.$$

The coefficient $a_i = \langle \xi, n_i \rangle$ is constant:

$$a'_i = \langle \nabla_{t_\alpha} \xi, n_i \rangle + \langle \xi, \nabla_{t_\alpha} n_i \rangle = \left\langle \frac{1}{r} \cot\left(\frac{z_0}{r}\right) t_\alpha, n_i \right\rangle + \langle \xi, -\kappa_i t_\alpha \rangle = 0.$$

Finally, taking the derivative of $\langle \xi, t_\alpha \rangle = 0$ along α

$$0 = \langle \nabla_{t_\alpha} \xi, t_\alpha \rangle + \langle \xi, \nabla_{t_\alpha} t_\alpha \rangle = \left\langle \frac{1}{r} \cot\left(\frac{z_0}{r}\right) t_\alpha, t_\alpha \right\rangle + \left\langle \sum_i a_i n_i, \sum_i \kappa_j n_j \right\rangle$$

$$\Rightarrow 0 = \frac{1}{r} \cot\left(\frac{z_0}{r}\right) + a_1 \kappa_1(s) + \cdots + a_m \kappa_m(s).$$

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$$\Rightarrow 0 = \frac{1}{r} \cot\left(\frac{z_0}{r}\right) + a_1 \kappa_1(s) + \cdots + a_m \kappa_m(s).$$

Conversely, given $\alpha(s)$, define $W(s) = -a_1 n_1(s) - \cdots - a_m n_m(s)$ and

$$p(s) = \cos\left(\frac{z_0}{r}\right) \alpha(s) - r \sin\left(\frac{z_0}{r}\right) W(s).$$

We have $p'(s) = 0$. Then, $p(s)$ is constant and the geodesics starting at α with velocity W travel the same distance to reach P . Therefore, α is a spherical curve. □

Curves on totally umbilical hypersurfaces

Theorem 3 [— & da Silva, *Annali di Matematica* 199 (2020) 217]

$\Sigma^m \subset M^{m+1}$ is umbilical if and only if its unit normal ξ is RM along every $\alpha : I \rightarrow \Sigma$. In addition, every curve in Σ satisfies

$$a_1\kappa_1(s) + \cdots + a_m\kappa_m(s) = H(\alpha(s)),$$

where H is the mean curvature, $\xi = \sum_i a_i n_i$ with a_i constant and n_i RM.

Thus, $\sum a_i \kappa_i = H$ for spherical curves if and only if M is a space form.

Finally, if we use the Frenet frame, then $\Sigma^2 \subset M^3$ is umbilical if and only if

$$\frac{d}{ds} \left[\frac{1}{\tau} \frac{d}{ds} \left(\frac{H}{\kappa} \right) \right] + \frac{\tau}{\kappa} H = 0.$$

Note: The same is valid for pseudo-Riemannian manifolds.

Curves on totally umbilical hypersurfaces

Theorem E [Baek et al, Am. Math. Mon. 110 (2003) 830]

Let $r > 0$ be constant. Then every curve $\alpha : I \rightarrow \Sigma^m \subset \mathbb{E}^{m+1}$ satisfies $\kappa \geq \frac{1}{r}$ if and only if Σ^m is a sphere of radius r .

Theorem 4 [— & da Silva, Annali di Matematica 199 (2020) 217]

Every curve $\alpha : I \rightarrow \Sigma^m \subset M^{m+1}$ satisfies $\kappa \geq |H|$, where H is the mean curvature of M , if and only if Σ^m is totally umbilical.

Consequently, $\kappa \geq |H|$ characterizes spherical curves iff M is a space form.

Note: The same is valid for pseudo-Riemannian manifolds.

Curves on totally umbilical hypersurfaces

Theorem F [Pansonato & Costa, *Geom. Dedicata* 136 (2008) 111]

Every simple closed curve $\alpha : I \rightarrow \Sigma^2 \subset \mathbb{E}^3$, $\mathbb{S}^3(r)$, or $\mathbb{H}^3(r)$ satisfies $\oint \tau = 0$ if and only if Σ^2 is totally umbilical.

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Theorem 5 [— & da Silva, *Annali di Matematica* 199 (2020) 217]

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Proof of Thr. B (umbilical spheres \Leftrightarrow space form)

Given $X_q, Y_q \in T_qM$, $\exists p$ where $S(p, R)$ is tang. to X_q and normal to Y_q .

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$$q = f(R, 0), \quad \frac{\partial f}{\partial s}(R, 0) = X_q, \quad \text{and} \quad \frac{\partial f}{\partial u}(R, 0) = Y_q.$$

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Extend X_q, Y_q to $X = \frac{\partial}{\partial s}$ and $Y = \frac{\partial}{\partial u}$. Then $\nabla_Y Y = 0$, $[X, Y] = 0$, and $\nabla_X Y = \nabla_Y X$. From the umbilicity, $\nabla_X Y = -\lambda X$, it follows

$$R(Y, X)Y = \nabla_X \nabla_Y Y - \nabla_Y \nabla_X Y + \nabla_{[Y, X]} Y = \nabla_Y(\lambda X) = \left(\frac{\partial \lambda}{\partial u} - \lambda^2 \right) X.$$

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The sectional curvature of $\text{span}\{X_q, Y_q\}$ at q is

$$K_q(X, Y) = \frac{\langle R(Y, X)Y, X \rangle}{\langle X, X \rangle \langle Y, Y \rangle - \langle X, Y \rangle^2} \Big|_q = \left(\frac{\partial \lambda}{\partial u} - \lambda^2\right) \Big|_{u=R},$$

It is possible to conclude K is a function of the base point only. By the Schur theorem, the sectional curvature is constant. \square

Ruled Surfaces in space forms

Given $\psi(u, v) = \alpha(u) + v Z(u)$ in \mathbb{E}^3 , then the Gaussian curvature satisfies

$$K = -\frac{(\psi_u, \psi_v, \psi_{uv})^2}{(\det g_{ij})^2} = -\left[\frac{(\alpha', Z, Z')}{\det g_{ij}}\right]^2.$$

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If Z is unit normal field along α , then $K = 0$ iff Z is RM.

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In addition, $K = 0$ implies the surface is ruled.

Theorem G [Portnoy, Pac. J. Math. 57 (1975) 281]

Let $\psi(u, v) = \exp_{\alpha(u)}(vZ(u))$ be a ruled surface in \mathbb{H}^3 . Then,

$$K_{\text{ext}} = 0 \Leftrightarrow (\alpha', Z, \nabla_{\alpha'} Z) = 0.$$

In particular, if Z is unit normal field along α , then $K_{\text{ext}} = 0$ iff Z is RM.

In addition, $K_{\text{ext}} = 0$ implies the surface is ruled.

Extrinsic Gaussian curvature

Theorem 6 [— & da Silva, e-print arXiv:2106.10346 (2021)]

Let the generating curve α be the *striction curve*, $\langle \alpha', \nabla_{\alpha'} Z \rangle = 0$, then

$$K_{\text{ext}}(u, v) = -\cos^2\left(\frac{v}{r}\right) \frac{\lambda(u)^2}{\left[\lambda(u)^2 \cos^2\left(\frac{v}{r}\right) + r^2 \sin^2\left(\frac{v}{r}\right)\right]^2},$$

where we have defined the *distribution parameter*

$$\lambda = \frac{(\alpha', Z, \nabla_{\alpha'} Z)}{\|\nabla_{\alpha'} Z\|^2}.$$

Note: For ruled surfaces in $\mathbb{H}^3(r)$, replace \sin and \cos by \sinh and \cosh .

Theorem 7 [— & da Silva, e-print arXiv:2106.10346 (2021)]

Every extrinsically flat surface in a space form is ruled.

Proof. Let $\Sigma : (u, v) \mapsto \psi(u, v)$ have $K_{\text{ext}} = 0$ and consider $\partial_1 = \frac{\partial}{\partial u}$, $\partial_2 = \frac{\partial}{\partial v}$, and $\xi = \partial_3$, where $\kappa_2(\partial_2) = 0$.

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$$\begin{aligned}\langle R(\partial_i, \partial_j)\partial_k, \xi \rangle_p &= \langle \nabla_{\partial_j} \nabla_{\partial_i} \partial_k - \nabla_{\partial_i} \nabla_{\partial_j} \partial_k, \xi \rangle_p \\ R_{ijk3}(p) &= h_{jm} \Gamma_{ik}^m - h_{im} \Gamma_{jk}^m + h_{ik,j} - h_{jk,i}.\end{aligned}$$

In a space form, $R_{ijk3} = K_0(\langle \partial_i, \partial_k \rangle \langle \partial_j, \partial_3 \rangle - \langle \partial_i, \partial_3 \rangle \langle \partial_j, \partial_k \rangle)$. Using that $h_{2i} = \langle \nabla_{\partial_2} \partial_i, \xi \rangle = -\langle \partial_i, \nabla_{\partial_2} \xi \rangle = 0$ implies $h_{21} = h_{22} = 0$, we have

$$h_{i1} \Gamma_{22}^1 = -R_{i223} = 0.$$

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At a parabolic point, $h_{11} \neq 0 \Rightarrow \Gamma_{22}^1 = 0$ in a neighborhood of p . Finally,

$$\nabla_{\partial_2}\partial_2 = \Gamma_{22}^i\partial_i + h_{22}\xi = \Gamma_{22}^2\partial_2 \Rightarrow \kappa_g(\partial_2) \propto \langle \nabla_{\partial_2}\partial_2, -\partial_1 \rangle = 0.$$

In conclusion, Σ is a union of pieces of rule surfaces. □

Characterization of space forms

Theorem 8 [— & da Silva, e-print arXiv:2106.10346 (2021)]

A manifold M^3 is a space form if and only if

- 1 there exists a surface with $K_{\text{ext}} = 0$ tangent to any 2d plane
- 2 if every surface with $K_{\text{ext}} = 0$ is ruled.

Proof. Given $\pi_p \subset T_p M^3$, let Σ^2 have $K_{\text{ext}} = 0$ and such that $T_p \Sigma^2 = \pi_p$. Write $\Sigma : (u, v) \mapsto \exp_{\alpha(u)}(vZ(u))$, where $\kappa_n(\partial_2) = 0$ and $\kappa_1 = \kappa_n(\partial_1)$.

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$$R_p(\partial_2, \partial_1)\partial_2 = \nabla_{\partial_1} \nabla_{\partial_2} \partial_2 - \nabla_{\partial_2} \nabla_{\partial_1} \partial_2 + \nabla_{[\partial_1, \partial_2]} \partial_2 = -\nabla_{\partial_2} \nabla_{\partial_1} \partial_2.$$

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Since $\langle \partial_2, \partial_2 \rangle = 1 \Rightarrow \langle \nabla_{\partial_1} \partial_2, \partial_2 \rangle = 0$, then $\nabla_{\partial_1} \partial_2 = A\partial_1 + B\xi$. Moreover,

$$\nabla_{\partial_2} \xi = 0 \Rightarrow B = \langle \xi, \nabla_{\partial_1} \partial_2 \rangle = \langle \xi, \nabla_{\partial_2} \partial_1 \rangle = \langle -\nabla_{\partial_2} \xi, \partial_1 \rangle = 0$$

Finally, $\nabla_{\partial_1} \partial_2 = A\partial_1$ implies $K_p(\partial_1, \partial_2) = -(\frac{\partial A}{\partial v} + A^2)$ and, therefore, M^3 must be a space form. \square

Theorem 9 [— & da Silva, e-print arXiv:2106.10346 (2021)]

Let $\Sigma(u, v) \subset M^3$ have $K_{\text{ext}} = 0$ and let the $\kappa_2(\partial_2) = 0$. The v -curves are geodesics, i.e., Σ^2 is ruled, if and only if $R_{1223} = 0$.

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Corollary 2 [— & da Silva, arXiv:2106.10346; Dillen et al, Monatsh. Math. 152 (2007) 89; Dillen & Munteanu, Bull. Braz. Math. Soc. 40 (2009) 85]

Every constant angle surface in $\mathbb{S}^2 \times \mathbb{R}$ and $\mathbb{H}^2 \times \mathbb{R}$ is a ruled surface.

Proof. Write $\partial_t = \sin \theta e_2 + \cos \theta e_3$, $\xi = \partial_3$, and $e_1 = e_2 \times e_3$. Since

$$g_H(e_2^H, e_1) = g(e_2, e_1) - g_R(e_2^R, 0) = 0 \text{ and}$$

$$g_H(e_1, e_3^H) = g(e_1, e_3) - g_R(e_1^R, e_3^R) = 0,$$

then $R_{1223} = g_H(e_1, e_2^H)g_H(e_2^H, e_3^H) - g_H(e_1^H, e_3^H)g_H(e_2^H, e_2^H) = 0$. □

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then $R_{1223} = g_H(e_1, e_2^H)g_H(e_2^H, e_3^H) - g_H(e_1^H, e_3^H)g_H(e_2^H, e_2^H) = 0$. □

Theorem 10 [— & da Silva, e-print arXiv:2106.10346 (2021)]

There exist Σ^2 in $\mathbb{H}^2 \times \mathbb{R}$ and $\mathbb{S}^2 \times \mathbb{R}$ with $K_{\text{ext}} = 0$ which does not make a constant angle. In addition, the asymptotic directions are orthogonal to ∂_t .

Summary

- Several properties of spherical curves in space forms are valid because their spheres are totally umbilical.
- We force those properties to be valid for spherical curves, then we are forced to work with space forms.
- We proved, using RM frames, that umbilical spheres is a characteristic property of space forms.
- We provided a necessary and sufficient condition for an extrinsically flat surface in a generic manifold be ruled.
- Space forms are the only manifolds which have plenty of extrinsically flat surfaces and they are all ruled.
- We showed that constant angle surfaces in homogeneous product manifolds are ruled.
- There must exist extrinsically flat surfaces in homogeneous product manifolds which do not make a constant angle with the real direction.

Muito obrigado! (Thank you!)

Our works

- 1 Da Silva, L. C. B., Da Silva, J. D.: *Characterization of curves that lie on a geodesic sphere or on a totally geodesic hypersurface in a hyperbolic space or in a sphere.* Mediterr. J. Math. **15** (2018) 70.
- 2 Da Silva, L. C. B., Da Silva, J. D.: *Characterization of manifolds of constant curvature by spherical curves.* Annali di Matematica **199** (2020) 217–229.
- 3 Da Silva, L. C. B., Da Silva, J. D.: *Characterization of manifolds of constant curvature by ruled surfaces.* e-print arXiv:2106.10346.

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