

# $L^p$ estimates for certain wave equations with Lipschitz coefficients

Pierre Portal, Australian National University  
joint work with D. Frey (Karlsruhe)

Supported by ARC DP160100941  
and DFG 258734477 - SFB 1173

<https://arxiv.org/abs/2010.08326>

**Recorded talk:**

<https://www.youtube.com/watch?v=BVMXb2bFRQc>

## THE RESULT

For  $j \in \{1, \dots, 2d\}$ , let  $a_j \in C^{0,1}(\mathbb{R})$  with  $\frac{d}{dx}a_j \in L^\infty$ , and assume that there exist  $0 < \lambda \leq \Lambda$  such that  $\lambda \leq a_j(x) \leq \Lambda$  for all  $x \in \mathbb{R}$ . Define  $\tilde{a}_j : x \mapsto a_j(x_j)$  and, for  $\xi \in \mathbb{R}^d$ ,

$$i\xi \cdot D_a := \sum_{j=1}^d \xi_j \begin{pmatrix} 0 & -i\partial_j a_{j+d} \\ ia_j \partial_j & 0 \end{pmatrix},$$

$$L := D_a \cdot D_a = \begin{pmatrix} L_1 & 0 \\ 0 & L_2 \end{pmatrix},$$

where  $L_1 := -\sum_{j=1}^d a_{j+d} \partial_j a_j \partial_j$  and  $L_2 := -\sum_{j=1}^d a_j \partial_j a_{j+d} \partial_j$ .

**Theorem:** Let  $p \in (1, \infty)$  and  $s_p = (d-1)|\frac{1}{p} - \frac{1}{2}|$ . For each  $t \in \mathbb{R}$ , the operator  $(I + \sqrt{L})^{-s_p} \exp(it\sqrt{L})$  is bounded on  $L^p(\mathbb{R}^d; \mathbb{C}^2)$ . Moreover  $\exp(it\sqrt{L})$  leaves a scale of Hardy-Sobolev spaces  $H_{FIO,a}^{p,s}$  invariant.

## WAVE PACKET TRANSFORM

**Definition:** For  $\sigma > 0$ ,  $W_\sigma \in B(L^2(\mathbb{R}^d), L^2(\mathbb{R}^d \times S^{d-1}))$  is defined by

$$W_\sigma(f)(x, \omega) := \Psi(\sigma\omega \cdot \nabla) \psi(\sigma^{\frac{1}{2}}\omega_1 \cdot \nabla) \dots \psi(\sigma^{\frac{1}{2}}\omega_{d-1} \cdot \nabla) f(x),$$

where  $(\omega, \omega_1, \dots, \omega_{d-1})$  is an orthonormal basis,  $\Psi, \psi \in C_c^\infty$  and  $\text{supp}\Psi \subset [\frac{1}{4}, 2]$ .

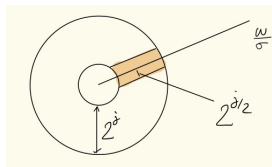


Figure:  $|\eta| \sim 2^j$  and  $|\omega - \frac{\eta}{|\eta|}| \lesssim 2^{-\frac{j}{2}}$ .

# WAVE PACKET TRANSFORM

**Definition:** For  $\sigma > 0$ ,  $W_\sigma \in B(L^2(\mathbb{R}^d), L^2(\mathbb{R}^d \times S^{d-1}))$  is defined by

$$W_\sigma(f)(x, \omega) := \Psi(\sigma\omega \cdot \nabla) \psi(\sigma^{\frac{1}{2}}\omega_1 \cdot \nabla) \dots \psi(\sigma^{\frac{1}{2}}\omega_{d-1} \cdot \nabla) f(x),$$

where  $(\omega, \omega_1, \dots, \omega_{d-1})$  is an orthonormal basis,  $\Psi, \psi \in C_c^\infty$  and  $\text{supp} \Psi \subset [\frac{1}{4}, 2]$ .

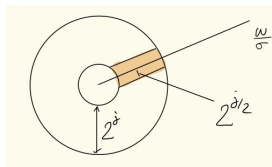


Figure:  $|\eta| \sim 2^j$  and  $|\omega - \frac{\eta}{|\eta|}| \lesssim 2^{-\frac{j}{2}}$ .

**Energy packets/dyadic-parabolic decomposition:**

$$\|f\|_{L^2(\mathbb{R}^d)}^2 \sim \int_0^1 \|W_\sigma f\|_{L^2(\mathbb{R}^d \times S^{d-1})}^2 \frac{d\sigma}{\sigma} + \int_1^\infty \|\Psi(\sigma^2 \Delta) f\|_{L^2(\mathbb{R}^d)}^2 \frac{d\sigma}{\sigma}$$

# TRANSPORT GROUP

**Transport:** For  $\xi \in \mathbb{R}^d$ ,  $\exp(i\xi \cdot D_a)$  defines a  $d$ -parameter bounded  $C_0$ -group of operators on  $L^p$ , for all  $p \in (1, \infty)$ . It has finite speed of propagation.

# TRANSPORT GROUP

**Transport:** For  $\xi \in \mathbb{R}^d$ ,  $\exp(i\xi \cdot D_a)$  defines a  $d$ -parameter bounded  $C_0$ -group of operators on  $L^p$ , for all  $p \in (1, \infty)$ . It has finite speed of propagation.

**Idea:**  $\exp(ta_j \frac{d}{dx})(f \circ \phi_j) = \exp(t \frac{d}{dx})(f) \circ \phi_j \quad \forall t \in \mathbb{R}.$

# TRANSPORT GROUP

**Transport:** For  $\xi \in \mathbb{R}^d$ ,  $\exp(i\xi \cdot D_a)$  defines a  $d$ -parameter bounded  $C_0$ -group of operators on  $L^p$ , for all  $p \in (1, \infty)$ . It has finite speed of propagation.

**Idea:**  $\exp(ta_j \frac{d}{dx})(f \circ \phi_j) = \exp(t \frac{d}{dx})(f) \circ \phi_j \quad \forall t \in \mathbb{R}$ .

**Transference (Coifman-Weiss):**

For  $X = L^p(\mathbb{R}^d)$ ,  $q \in (1, \infty)$ , and all  $\psi \in \mathcal{S}(\mathbb{R}^d)$ , we have that

$$\left\| \int_{\mathbb{R}^d} \widehat{\psi}(\xi) \exp(i\xi \cdot D_a) f d\xi \right\|_X \lesssim \|T_\psi \otimes I_X\|_{B(L^q(\mathbb{R}^d; X))} \|f\|_X.$$

# ADAPTED HARDY-SOBOLEV SPACES

**Square function spaces over phase space:**

$$\|F\|_{L^p(T^{p,2})} := \left( \int_{\mathbb{R}^d \times S^{d-1}} \left( \int_0^\infty \int_{B(x,\sigma)} |F(y, \omega, \sigma)|^2 dy \frac{d\sigma}{\sigma} \right)^{p/2} dx d\omega \right)^{\frac{1}{p}}.$$



# ADAPTED HARDY-SOBOLEV SPACES

**Square function spaces over phase space:**

$$\|F\|_{L^p(T^{p,2})} := \left( \int_{\mathbb{R}^d \times S^{d-1}} \left( \int_0^\infty \int_{B(x,\sigma)} |F(y, \omega, \sigma)|^2 dy \frac{d\sigma}{\sigma} \right)^{p/2} dx d\omega \right)^{\frac{1}{p}}.$$

**Classical Hardy space  $H^1$ :**

$$\|f\|_{H^1(\mathbb{R}^d)} \sim \|(x, \omega, \sigma) \mapsto \Psi(\sigma \nabla) f(x)\|_{L^1(T^{1,2}(\mathbb{R}^d))}.$$

# ADAPTED HARDY-SOBOLEV SPACES

**Square function spaces over phase space:**

$$\|F\|_{L^p(T^{p,2})} := \left( \int_{\mathbb{R}^d \times S^{d-1}} \left( \int_0^\infty \int_{B(x,\sigma)} |F(y, \omega, \sigma)|^2 dy \frac{d\sigma}{\sigma} \right)^{p/2} dx d\omega \right)^{\frac{1}{p}}.$$

**Classical Hardy space  $H^1$ :**

$$\|f\|_{H^1(\mathbb{R}^d)} \sim \|(x, \omega, \sigma) \mapsto \Psi(\sigma \nabla) f(x)\|_{L^1(T^{1,2}(\mathbb{R}^d))}.$$

**Hardy-Sobolev FIO spaces associated with  $D_a$ :**

$$\|f\|_{H_{FIO,a}^{p,s}(\mathbb{R}^d)} := \|\omega \mapsto [(\sigma, x) \mapsto 1_{(1,\infty)}(\sigma) \Psi(\sigma D_a) f(x) + 1_{[0,1]}(\sigma) \sigma^{-s} \psi_{\omega,\sigma}(D_a) f(x)]\|_{L^p(S^{d-1}; T^{p,2}(\mathbb{R}^d))}.$$

# DECAY ESTIMATES

For every  $M \in \mathbb{N}$ , there exists  $C_M > 0$  such that for all  $E, F \subset \mathbb{R}^d$  Borel sets,  $\sigma \in (0, 1)$  and  $\omega \in S^{d-1}$ , we have

$$\|1_E \psi_{\omega, \sigma}(D_a)(1_F f)\|_{L^2(\mathbb{R}^d)} \leq C_M \sigma^{-\frac{d}{2}} \left(1 + \frac{d_\omega(E, F)}{\sigma}\right)^{-M} \|1_F f\|_{L^1(\mathbb{R}^d)}$$

for all  $f \in L^1(\mathbb{R}^d)$ , where

$$d_\omega(x, y) := |\langle \omega, x - y \rangle| + \sum_{j=1}^{d-1} \langle \omega_j, x - y \rangle^2 \quad \forall x, y \in \mathbb{R}^d.$$